

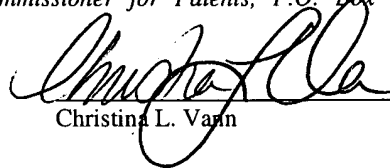


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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 3, 2006.*

  
Christina L. Vann

Applicant : Craig L. Ogg  
Application No. : 09/690,796  
Filed : October 17, 2000  
Title : SECURE AND RECOVERABLE DATABASE FOR ON-LINE VALUE-BEARING ITEM SYSTEM

Confirmation No. 3181

Grp./Div. : 3621  
Examiner : Salvatore A. Cangialosi

Docket No. : 39477/S850

**INFORMATION DISCLOSURE STATEMENT WITH FEE  
UNDER 37 CFR §§ 1.97(c) AND 1.17(p)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
May 3, 2006

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed OTHER DOCUMENT references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to

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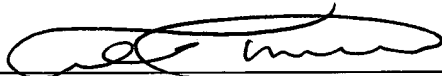
**Application No. 09/690,796**

Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Raymond R. Tabandeh

Reg. No. 43,945

626/795-9900

RRT/clv

Enclosures: Check \$180

Copy of IDS

Form PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	39477/S850
Application Number	09/690,796
Filing Date	October 17, 2000
Applicant(s)	Craig L. Ogg
Group Art Unit	3621
Examiner Name	Salvatore A. Cangialosi

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE
		Number - Kind Code <sup>2</sup> (If Known)	MM-DD-YYYY	
		4,775,246	10-04-1988	Edelmann et al.
		6,049,671	04-11-2000	Slivka et al.
		6,065,117	05-16-2000	White
		6,446,204 B1	09-03-2002	Pang et al.
		6,587,880 B1	07-01-2003	Saigo et al.

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		FICKEL, Louise, "Know Your Customer," 100 Leaders for the Next Millennium, CIO Magazine, 08/15/1999, pages 1-11
		SAGNER, James S., "Protecting Organizations from Electronic-Transaction Fraud", Healthcare Financial Management; Westchester; Feb 1995

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EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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